

Format of holding of specified securities

Annexure - I

- 1 Name of Listed Entity : THE GROB TEA COMPANY LTD.
- 2 Scrip Code/Name of Scrip/Class of Security :
- 3 Share Holding Pattern Filed under: Reg. 31(1) (b) : **Quarter Ended 31/03/2018**
- 4 Declaration:

| | Particulars | Yes | No |
|---|---|------------|-----------|
| 1 | Whether the Listed Entity has issued any partly paid up shares | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which depository receipt are issued? | | No |
| 4 | Whether the Listed Entity has any shares in locked-in? | | No |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. of shareholders (III) | No. of fully paid-up equity shares held (IV) | No. of partly paid-up equity shares held (V) | No. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|--|--|--|--|---|---|------------|----------------|-------------------------|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No. of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| | | | | | | | | Class eg:x | Class eg:y | Total | | | | | | | | |
| (A) | Promoter & Promoter Group | 4 | 870858 | 0 | 0 | 870858 | 74.923 | 870858 | 0 | 870858 | 74.923 | 0 | 74.923 | 0 | 0.000 | 0 | 0.000 | 870858 |
| (B) | Public | 2440 | 291472 | 0 | 0 | 291472 | 25.077 | 291472 | 0 | 291472 | 25.077 | 0 | 25.077 | 0 | 0.000 | 0 | 0.000 | 167202 |
| (C) | Non Promoter - Non Public | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | |
| | TOTAL | 2444 | 1162330 | 0 | | 1162330 | 100.000 | 1162330 | 0 | 1162330 | 100.000 | 0 | 100.000 | 0 | 0.000 | 0 | 0.000 | 1038060 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid-up equity shares held (IV) | No. of partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (VII)+(X) as a % | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) | |
|-----|--|------------|----------------------------|--|--|---|--|---|---|----------|---------------|--|--|-------------------------------------|----------|---|----------|---|---------------------------------|
| | | | | | | | | | No. of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Indian | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (a) | Individuals/Hindu undivided Family | | 2 | 79580 | 0 | 0 | 79580 | 6.847 | 79580 | 0 | 79580 | 6.847 | 0 | 6.847 | 0 | 0.000 | 0 | 0.000 | 79580 |
| | MUKESH KUMAR AGARWAL | AAEHM6426L | 0 | 38920 | 0 | 0 | 38920 | 3.348 | 38920 | 0 | 38920 | 3.348 | 0 | 3.348 | 0 | 0.000 | 0 | 0.000 | 38920 |
| | PRADEEP KUMAR AGARWAL | AAEHP9022M | 0 | 40660 | 0 | 0 | 40660 | 3.498 | 40660 | 0 | 40660 | 3.498 | 0 | 3.498 | 0 | 0.000 | 0 | 0.000 | 40660 |
| (b) | Central Government/State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (c) | Financial Institutions/Banks | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Bodies Corporate | | 2 | 791278 | 0 | 0 | 791278 | 68.077 | 791278 | 0 | 791278 | 68.077 | 0 | 68.077 | 0 | 0.000 | 0 | 0.000 | 791278 |
| | RAWALWASIA INDUSTRIES PRIVATE LIMITED | AACCR7136B | 0 | 484579 | 0 | 0 | 484579 | 41.690 | 484579 | 0 | 484579 | 41.690 | 0 | 41.690 | 0 | 0.000 | 0 | 0.000 | 484579 |
| | STRIP COMMODEAL PRIVATE LIMITED | AAECS4159F | 0 | 306699 | 0 | 0 | 306699 | 26.387 | 306699 | 0 | 306699 | 26.387 | 0 | 26.387 | 0 | 0.000 | 0 | 0.000 | 306699 |
| | Trusts | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Clearing Members | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (A)(1) | | 4 | 870858 | 0 | 0 | 870858 | 74.923 | 870858 | 0 | 870858 | 74.923 | 0 | 74.923 | 0 | 0.000 | 0 | 0.000 | 870858 |
| (2) | Foreign | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (a) | Individuals (Non-Resident Individuals/Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (b) | Government | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (c) | Institutions | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (d) | Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| (e) | Any Other | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | OCBs | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Sub-Total (A)(2) | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| | Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2) | | 4 | 870858 | 0 | 0 | 870858 | 74.923 | 870858 | 0 | 870858 | 74.923 | 0 | 74.923 | 0 | 0.000 | 0 | 0.000 | 870858 |

Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid-up equity shares held (IV) | No. of partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialized form (XIV) | |
|-----|--|------------|----------------------------|--|--|---|--|---|---|---------|--------|--|---|-------------------------------------|---------|--|---------|---|---------------------------------|
| | | | | | | | | | No. of Voting Rights | | | | | Total as a % of Total Voting rights | No. (a) | As a % of total Shares held (b) | No. (a) | | As a % of total Shares held (b) |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Institutions | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (a) | Mutual Funds | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (b) | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (c) | Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (d) | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (e) | Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (f) | Financial Institutions/Banks | | 7 | 1808 | 0 | 0 | 1808 | 0.156 | 1808 | 0 | 1808 | 0.156 | 0 | 0.156 | 0 | 0.000 | 0 | 0.000 | 250 |
| (g) | Insurance Companies | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (h) | Provident Funds/Pension Funds | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (i) | Any Other | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| | Foreign Institutional Investors | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| | QFI - Corporate | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| | Sub-Total (B)(1) | | 7 | 1808 | 0 | 0 | 1808 | 0.156 | 1808 | 0 | 1808 | 0.156 | 0 | 0.156 | 0 | 0.000 | 0 | 0.000 | 250 |
| (2) | Central Government/State Government(s)/President of India | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| | Sub-Total (B)(2) | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (3) | Non-Institutions | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (a) | Individuals | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| | i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs. | | 2320 | 219273 | 0 | 0 | 219273 | 18.865 | 219273 | 0 | 219273 | 18.865 | 0 | 18.865 | 0 | 0.000 | 0 | 0.000 | 103992 |
| | VISHNU DASS MITTAL | AABFH8876N | 0 | 16000 | 0 | 0 | 16000 | 1.377 | 16000 | 0 | 16000 | 1.377 | 0 | 1.377 | 0 | 0.000 | 0 | 0.000 | 16000 |
| | ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs. | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (b) | NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (c) | Employee Trusts | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (d) | Overseas Depositors (holding DRs)(balancing figure) | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |
| (e) | Any Other | | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | |

| | | | | | | | | | | | | | | | | | | |
|---|------------|-------------|---------------|----------|----------|---------------|---------------|---------------|----------|---------------|---------------|----------|---------------|----------|--------------|----------|--------------|---------------|
| Bodies Corporate | | 39 | 62529 | 0 | 0 | 62529 | 5.380 | 62529 | 0 | 62529 | 5.380 | 0 | 5.380 | 0 | 0.000 | 0 | 0.000 | 59281 |
| DIAMOND INFOTECH PRIVATE LIMITED | AABCD4733F | 0 | 50000 | 0 | 0 | 50000 | 4.302 | 50000 | 0 | 50000 | 4.302 | 0 | 4.302 | 0 | 0.000 | 0 | 0.000 | 50000 |
| QFI - Individual | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| NRI - Repatriable | | 5 | 60 | 0 | 0 | 60 | 0.005 | 60 | 0 | 60 | 0.005 | 0 | 0.005 | 0 | 0.000 | 0 | 0.000 | 60 |
| NRI - Non- Repatriable | | 22 | 5051 | 0 | 0 | 5051 | 0.435 | 5051 | 0 | 5051 | 0.435 | 0 | 0.435 | 0 | 0.000 | 0 | 0.000 | 948 |
| OCBs | | 1 | 80 | 0 | 0 | 80 | 0.007 | 80 | 0 | 80 | 0.007 | 0 | 0.007 | 0 | 0.000 | 0 | 0.000 | 0 |
| Trusts | | 1 | 100 | 0 | 0 | 100 | 0.009 | 100 | 0 | 100 | 0.009 | 0 | 0.009 | 0 | 0.000 | 0 | 0.000 | 100 |
| Clearing Memebers | | 45 | 2571 | 0 | 0 | 2571 | 0.221 | 2571 | 0 | 2571 | 0.221 | 0 | 0.221 | 0 | 0.000 | 0 | 0.000 | 2571 |
| IEPF Authority | | 0 | 0 | 0 | 0 | 0 | 0.000 | 0 | 0 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 | 0.000 | 0 |
| Sub-Total (B)(3) | | 2433 | 289664 | 0 | 0 | 289664 | 24.921 | 289664 | 0 | 289664 | 24.921 | 0 | 24.921 | 0 | 0.000 | 0 | 0.000 | 166952 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B (3) | | 2440 | 291472 | 0 | 0 | 291472 | 25.077 | 291472 | 0 | 291472 | 25.077 | 0 | 25.077 | 0 | 0.000 | 0 | 0.000 | 167202 |

Table IV - Statement showing shareholding pattern of the Non Promoter - Non Public shareholder

| | Category & Name of shareholders (I) | PAN (II) | Nos. of shareholders (III) | No. of fully paid up equity shares held (IV) | No. of partly paid-up equity shares held (V) | Nos. of shares underlying Depository Receipt (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) | Number of Voting Rights held in each class of securities (IX) | | | | No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|-----|---|----------|----------------------------|--|--|---|--|---|---|---------|-------|-------------------------------------|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | | No. of Voting Rights | | | Total as a % of Total Voting rights | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| | | | | | | | | | Class x | Class y | Total | | | | | | | | |
| (1) | Custodian.DR Holder | | | | | | | | | | | | | | | | | | |
| (2) | Employee Benefit Trust (under SEBI (share based Employee Benefit) Regulations, 2014 | | | | | | | | | | | | | | | | | | |
| | Total Non-Promoter - Non-Public Shareholding (C)=(C)(1)+(C)+(2) | | | | | | | | | | | | | | | | | | |